

**11.1** Let  $g$  be a Lorentzian metric on  $\mathbb{R}^{3+1}$  with the property that, in the standard Cartesian coordinates  $(t, x^1, x^2, x^3)$  on  $\mathbb{R}^{3+1}$ , we have

$$|g_{\alpha\beta} - \eta_{\alpha\beta}| < \frac{1}{10}$$

and that the components of the deformation tensor  $\pi_{\alpha\beta}^{(T)} = \nabla_\alpha T_\beta + \nabla_\beta T_\alpha$  of the vector field  $T = \partial_t$  satisfy

$$|\pi_{\alpha\beta}^{(T)}| \leq \frac{1}{(1 + |t|)^{1+\delta}}$$

for some  $\delta > 0$ . Show that, for any initial data set  $(\psi_0, \psi_1) \in C_0^\infty(\mathbb{R}^3) \times C_0^\infty(\mathbb{R}^3)$  at  $\{t = 0\}$ , the corresponding solution  $\psi$  of the wave equation  $\square_g \psi = 0$  satisfies

$$\sup_t \sum_{\alpha=0}^3 \|\partial_\alpha \psi(t, \cdot)\|_{L^2(\mathbb{R}^3)} \leq C_\delta \left( \|\psi_0\|_{H^1} + \|\psi_1\|_{L^2} \right)$$

for some constant  $C_\delta > 0$  depending only on  $\delta$ .

(Hint: Apply the divergence identity for the vector field  $T$ .)

**11.2** In this exercise, we will establish a number functional inequalities on  $\mathbb{R}^3$  that are frequently used in analysis in order to control  $L^P$  norms of a given function by  $L^q$  norms of its higher order derivatives. To this end, for any function  $f \in C_0^\infty(\mathbb{R}^3)$  and any  $k \in \mathbb{N}$ , we will set

$$\|\nabla_x^k f\|_{L_x^2(\mathbb{R}^3)}^2 \doteq \sum_{\substack{(a_1, a_2, a_3) \in \mathbb{N}^3: \\ a_1 + a_2 + a_3 = k}} \int_{\mathbb{R}^3} \left| \frac{\partial^{a_1+a_2+a_3}}{(\partial x^1)^{a_1} (\partial x^2)^{a_2} (\partial x^3)^{a_3}} f \right|^2 dx.$$

We will also define the Sobolev norm by

$$\|f\|_{H^k(\mathbb{R}^3)}^2 \doteq \sum_{l=0}^k \|\nabla_x^l f\|_{L^2(\mathbb{R}^3)}^2$$

and, for the *homogeneous* Sobolev norm:

$$\|f\|_{\dot{H}^k(\mathbb{R}^3)} \doteq \|\nabla_x^k f\|_{L^2(\mathbb{R}^3)}$$

(note the dot in the notation for  $\dot{H}^k$  vs  $H^k$ ).

(a) Denoting with  $\hat{f}$  the Fourier transform of  $f$ , i.e.

$$\hat{f}(\xi) = \int_{\mathbb{R}^n} e^{2\pi i \xi \cdot x} f(x) dx,$$

show that there exists a constant  $C_k > 0$  depending only on  $k \in \mathbb{N}$  such that

$$\frac{1}{C_k} \| |\xi|^k \hat{f} \|_{L^2_\xi(\mathbb{R}^3)} \leq \| f \|_{\dot{H}^k(\mathbb{R}^3)} \leq C_k \| |\xi|^k \hat{f} \|_{L^2_\xi(\mathbb{R}^3)}.$$

Moreover, show that

$$\| f \|_{L^\infty_x(\mathbb{R}^3)} \leq \| \hat{f} \|_{L^1_\xi(\mathbb{R}^3)}.$$

*Hint: For the last inequality, use the formula for the inverse Fourier operator  $f(x) = \int_{\mathbb{R}^3} e^{-2\pi i \xi \cdot x} \hat{f}(\xi) d\xi$ .*

- (b) Show that there exists a constant  $C > 0$  such that, for all functions  $f \in C_0^\infty(\mathbb{R}^3)$ , the following **Sobolev-type** inequality holds:

$$\| f \|_{L^\infty_x(\mathbb{R}^3)}^2 \leq C \cdot \left( \| f \|_{\dot{H}_x^2(\mathbb{R}^3)}^2 + \| f \|_{\dot{H}_x^1(\mathbb{R}^3)}^2 \right)$$

*Remark.* Note that, contrary to the more standard Sobolev inequality, the right hand side above does not contain a zeroth order term in  $f$ . This inequality is useful in the case of wave-type equations, where the naturally conserved energy-type norms usually do not involve undifferentiated terms of the unknown functions.

- (c) Let  $\Omega \subset \mathbb{R}^3$  be a *bounded* domain with smooth boundary. We will assume as given the following corollary of the more general Rellich–Kondrachov theorem: For any such domain (in fact, any such domain in  $\mathbb{R}^n$ ), the identity map  $\text{id} : H^1(\Omega) \rightarrow L^2(\Omega)$  is *compact*, i.e. the set of functions  $\{f : \|f\|_{H^1(\Omega)} \leq 1\}$  is pre-compact with respect to the  $L^2$  topology. Show that there exists a constant  $C$  (depending only on the domain  $\Omega$ , such that

$$\| f \|_{L^2(\Omega)} \leq C \| \nabla_x f \|_{L^2(\Omega)} \quad \text{for all } f \in C^\infty(\Omega) \text{ such that } \int_\Omega f dx = 0.$$

This is a variant of the **Poincaré inequality**.

*(Hint: Assume, for the sake of contradiction, that there exists a sequence of functions  $f_n$  with  $\int_\Omega f_n = 0$  such that  $\|f_n\|_{L^2(\Omega)} = 1$  and  $\|\nabla_x f_n\|_{L^2(\Omega)} \xrightarrow{n \rightarrow \infty} 0$ . )*

**11.3** We will now extend the functional inequalities of the previous exercise to more general 3-dimensional Riemannian manifolds. To this end, let  $(\mathcal{M}^3, h)$  be a *compact Riemannian* manifold. We will set for any  $(k, l)$ -tensor field  $T$  on  $\mathcal{M}$ :

$$\| T \|_{L^2(\mathcal{M})}^2 = \int_{\mathcal{M}} \| T \|_h^2 d\text{vol}_h,$$

where

$$\| T \|_h^2 \doteq \langle T, T \rangle_h = h_{i_1 a_1} \dots h_{i_k a_k} h^{j_1 b_1} \dots h^{j_l b_l} T_{j_1 \dots j_l}^{i_1 \dots i_k} T_{b_1 \dots b_l}^{a_1 \dots a_k}$$

and  $d\text{vol}_h$  is the natural volume form associated to  $h$  so that, in any local coordinate system  $(x^1, x^2, x^3)$ ,  $d\text{vol}_h = \sqrt{\det(h)} dx^1 dx^2 dx^3$ .

- (a) Show that there exists a constant  $C > 0$  (depending on  $(\mathcal{M}, h)$ ) such that, for any  $f \in C^\infty(\mathcal{M})$ , we have

$$\|f\|_{L^\infty(\mathcal{M})}^2 \leq C \cdot \left( \|\nabla^2 f\|_{L^2(\mathcal{M})}^2 + \|\nabla f\|_{L^2(\mathcal{M})}^2 + \|f\|_{L^2(\mathcal{M})}^2 \right).$$

*Hint: Fix a finite collection of compact sets  $\{\mathcal{K}_n\}_n$  such that each  $\mathcal{K}_n$  lies strictly inside a coordinate chart and the open sets  $\text{int}(\mathcal{K}_n)$  cover all of  $\mathcal{M}$ . If  $\chi_n : \mathcal{M} \rightarrow [0, +\infty)$  are cutoff-functions such that  $\sum_n \chi_n = 1$  and  $\text{supp}(\chi_n) \subset \mathcal{K}_n$ , use the previous part of the exercise for the function  $\chi_n \cdot f$ .*

- (b) Using the Poincare inequality, show that there exists a  $C > 0$  such that, for any  $f \in C^\infty(\mathcal{M})$ :

$$\|f - \bar{f}\|_{L^\infty(\mathcal{M})}^2 \leq C \cdot \left( \|\nabla^2 f\|_{L^2(\mathcal{M})}^2 + \|\nabla f\|_{L^2(\mathcal{M})}^2 \right),$$

where

$$\bar{f} \doteq \int_{\mathcal{M}} f \, \text{dvol}_h.$$

**11.4** In this exercise, we will use the functional inequalities established earlier to deduce a general boundedness statement for solutions to the wave equation on static spacetimes.

Let  $(\mathcal{M}^3, h)$  be a compact Riemannian manifold and let us consider the (static) Lorentzian metric

$$g = -dt^2 + h$$

on  $\tilde{\mathcal{M}} = \mathbb{R} \times \mathcal{M}$ , where  $t$  is the projection on the first factor of  $\mathbb{R} \times \mathcal{M}$ . Let also  $T$  denote the Killing vector field corresponding to translations in the  $\mathbb{R}$  factor (so that, in any local coordinate system of the form  $(t, x^1, x^2, x^3)$  on  $\tilde{\mathcal{M}}$ , where  $(x^1, x^2, x^3)$  are coordinates on  $\mathcal{M}$ , we have that  $T = \frac{\partial}{\partial t}$ ). For any function  $\psi \in C^\infty(\tilde{\mathcal{M}})$ , we will set

$$\mathcal{E}[\psi](t) \doteq \int_{\{t\} \times \mathcal{M}} \left( |T(\psi)|^2 + \|\bar{\nabla} \psi\|_h^2 \right) \text{dvol}_h,$$

where  $\bar{\nabla}$  denotes the connection of  $h$  (so that in  $(t, x^1, x^2, x^3)$  coordinates as before,  $\bar{\nabla} \psi = \partial_i \psi dx^i$ ).

Let  $\psi$  be a smooth solution of the wave equation  $\square_g \psi = 0$  on  $\tilde{\mathcal{M}}$ . In this exercise, we will see how the energy estimates can be used to obtain estimates on the global-in-time behaviour of  $\psi$ .

- (a) Show that, for any  $t \in \mathbb{R}$ ,

$$\mathcal{E}[\psi](t) = \mathcal{E}[\psi](0).$$

- (b) Show that  $T\psi$  is also a solution of the wave equation. Moreover, show that, for any  $t \in \mathbb{R}$ ,

$$\int_{\{t\} \times \mathcal{M}} (\Delta_h \psi)^2 \, d\text{vol}_h \leq \mathcal{E}[T\psi](t),$$

where  $\Delta_h$  is the elliptic Laplace-Beltrami operator associated to  $h$ :

$$\Delta_h = h^{ab} \bar{\nabla}_a \bar{\nabla}_b \psi$$

(*Hint: Use the wave equation for  $\psi$  to get an expression for  $T^2\psi$ .*)

- \*(c) Show that there exists a constant  $C > 0$  depending only on  $(\mathcal{M}, h)$  such that

$$\int_{\{t\} \times \mathcal{M}} |\bar{\nabla}^2 \psi|^2 \, d\text{vol}_h \leq C \left( \mathcal{E}[T\psi](t) + \mathcal{E}[\psi](t) \right)$$

*Hint: Use integration by parts in the expression*

$$\int_{\{t\} \times \mathcal{M}} (\Delta_h \psi)^2 \, d\text{vol}_h = \int_{\{t\} \times \mathcal{M}} h^{ab} h^{ij} \bar{\nabla}_a \bar{\nabla}_b \psi \bar{\nabla}_i \bar{\nabla}_j \psi \, d\text{vol}_h,$$

noting that

$$\int_{\{t\} \times \mathcal{M}} |\bar{\nabla}^2 \psi|^2 \, d\text{vol}_h = \int_{\{t\} \times \mathcal{M}} h^{ab} h^{ij} \bar{\nabla}_a \bar{\nabla}_i \psi \bar{\nabla}_b \bar{\nabla}_j \psi \, d\text{vol}_h.$$

- (d) Show that there exists a constant  $C > 0$  depending only on  $(\mathcal{M}, h)$  such that

$$\sup_t \|\psi - \bar{\psi}\|_{L^\infty(\{t\} \times \mathcal{M})}^2 \leq C \cdot \left( \mathcal{E}[\psi](0) + \mathcal{E}[T\psi](0) \right),$$

where

$$\bar{\psi}(t) \doteq \int_{\{t\} \times \mathcal{M}} \psi \, d\text{vol}_h.$$

- (e) Show that  $\bar{\psi}(t)$  satisfies  $\frac{d^2}{dt^2} \bar{\psi} = 0$ . Deduce that if  $\int_{t=0} (T\psi) \, d\text{vol}_h = 0$ , then

$$\sup_{\tilde{\mathcal{M}}} |\psi| < +\infty.$$

What if  $\int_{t=0} (T\psi) \, d\text{vol}_h \neq 0$ ?